



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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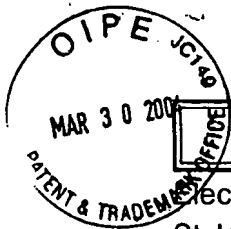
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Application Number: 10/731674							
Confirmation Number: 4281							
First Named Applicant: Daniel Lenehan							
Attorney Docket Number:							
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US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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Signature

Examiner Name	Date
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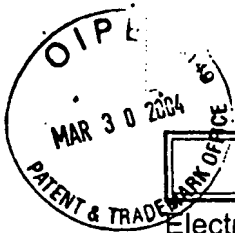
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Signature

Examiner Name	Date
<i>SV Clinic</i>	<i>1/8/07</i>



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## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

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Signature

Examiner Name	Date
<i>LVC</i>	1-8-07



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				Filing Date: December 8, 2003		Group Art Unit: <del>3735</del> 3749	
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AD	Kendra V. Sharp et al., "Liquid Flows in Microchannels", 2002, Vol. 6, pages 6-1 to 6-38.						
AE	Shuchi Shoji et al., "Microflow devices and systems", J. Microtech. Microeng. 4 (1994), pages 157-171, printed in the U.K.						
AF	Angela Rasmussen et al., "Fabrication Techniques to Realize CMOS-Compatible Microfluidic Microchannels", Journal of Microelectromechanical, Vol. 10, No. 2, June 2001, pages 286-297.						
AG	J. H. Wang et al., "Thermal-Hydraulic Characteristic of Micro Heat Exchangers", 1991, DSC-Vol. 32, Micromechanical Sensors, Actuators, and Systems, pages 331-339.						
AH	Gad Hetsroni et al., "Nonuniform Temperature Distribution in Electronic Devices Cooled by Flow in Parallel Microchannels", IEEE Transactions on Components and Packaging Technologies, March 2001, Vol. 24, No. 1, pages 16-23.						
AI	X. F. Peng et al., "Heat Transfer Characteristics of Water Flowing through Microchannels", Experimental Heat Transfer An International Journal, Vol. 7, No. 4, October-December 1994, pages 265-283.						
AJ	Linan Jiang et al., "Forced Convection Boiling in a Microchannel Heat Sink", Journal of Microelectromechanical Systems, Vol. 10, No. 1, March 2001, pages 80-87.						
AK	Muhammad M. Rahman et al., "Experimental Measurements of Fluid Flow and Heat Transfer in Microchannel Cooling Passages in a Chip Substrate", 1993, EEP-Vol. 4-2, Advances in Electronic Packages, pages 685-692.						
AL	X. F. Peng et al., "Forced convection and flow boiling heat transfer for liquid flowing through Microchannels", 1993, Int. J. Heat Mass Transfer, Vol. 36, No. 14, pages 3421-3427.						
AM	Lung-Jieh Yang et al., "A Micro Fluidic System of Micro Channels with On-Site Sensors by Silicon Bulk Micromachining", September 1999, Microfluidic Devices and Systems II, Vol. 3877, pages 267-272.						
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Paper No. 20040401

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				Filing Date: December 8, 2003		Group Art Unit: 3753 3744	
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
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	BC	Jerry K. Keska Ph. D. et al., "An Experimental Study on an Enhanced Microchannel Heat Sink for Microelectronics Applications", EEP-Vol. 26-2, Advances in Electronic Packaging, 1999, Vol. 2, pages 1235-1259.					
	BD	Shung-Wen Kang et al., "The Performance Test and Analysis of Silicon-Based Microchannel Heat Sink", July 1999, Terahertz and Gigahertz Photonics, Vol. 3795, pages 259-270.					
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	BF	Sarah Arulanandam et al., "Liquid transport in rectangular microchannels by electroosmotic pumping", Colloid and Surfaces A: Physicochemical and Engineering Aspects 161 (2000), pages 89-102.					
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	BQ	"Heat Exchanger Modules for Data Process with Valves Operated by Pressure form Cooling Water Pump", IBM Technical Disclosure Bulletin, Vol. 30, No. 5, October 1987, page 419.					
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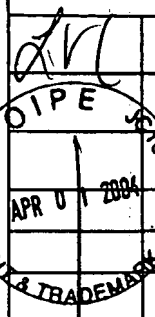
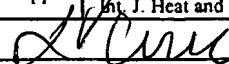
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✓	CE	R. C. Chu et al., "Silicon Heat Sink for Semiconductor Chip", IBM Technical Disclosure Bulletin, Vol. 24, No. 11A, April 1982, page 5743.			
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(37 CFR § 1.98(b))					
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)					
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Examiner:		Date Considered: 1-8-07			
EXAMINER:		Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Paper No. 20040401

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets if Necessary)

Applicants: Daniel J. Lenchan et al.

Filing Date: December 8, 2003

Group Art Unit: 3253 3744

(37 CFR § 1.98(b))

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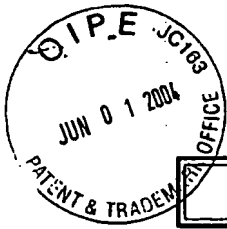
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Date Considered:

EXAMINER:

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Paper No. 20040401



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	PUMP AND FAN CONTROL CONCEPTS IN A COOLING SYSTEM						
Application Number: 10/731674 							
Confirmation Number: 4281							
First Named Applicant: Daniel Lenehan							
Attorney Docket Number:							
Search string: ( 5371529 or 5441613 or 5534471 or 5632876 or 5989402 ).pn.							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
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<input checked="" type="checkbox"/>	5	5989402	1999-11-23	Chow et al.			
Signature							
Examiner Name				Date			
<i>AVCm</i>				1-8-07			

Paper No. 20040601



FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

**SEP 24 2004**

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicants: Daniel J. Lenchan et al.

Filing Date: December 8, 2003

Group Art Unit: ~~3753~~ 3744

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
JVC	AA	3,267,859	08/23/66	S. T. Jutila	103	1	02/18/64
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Examiner:

A. V. Curie

Date Considered:

1-8-07

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FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants: Daniel J. Lenehan et al.

Filing Date: December 8, 2003

Group Art Unit: ~~3753~~ 3744

(37 CFR § 1.98(b))

## U.S. PATENT DOCUMENTS

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Examiner:

Date Considered:

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Paper No. 20041008

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	PUMP AND FAN CONTROL CONCEPTS IN A COOLING SYSTEM																																										
<p>Application Number : 10/731674 </p> <p>Confirmation Number: 4281</p> <p>First Named Applicant: Daniel Lenehan</p> <p>Attorney Docket Number:</p> <p>Art Unit:</p> <p>Examiner:</p> <p>Search string: ( 6438984 or 6581388 or 6587343 ).pn</p> <p><u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p> <p><b>US Patent Documents</b></p> <p><b>Note:</b> Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td><i>AK</i></td><td>1</td><td>6438984</td><td>2002-08-27</td><td>Novotny et al.</td><td>B1</td><td>—</td><td>—</td></tr><tr><td><i>T</i></td><td>2</td><td>6581388</td><td>2003-06-24</td><td>Novotny et al.</td><td>B2</td><td>—</td><td>—</td></tr><tr><td><i>T</i></td><td>3</td><td>6587343</td><td>2003-07-01</td><td>Novotny et al.</td><td>B2</td><td>—</td><td>—</td></tr></tbody></table> <p><b>Signature</b></p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td><i>AKme</i></td><td><i>1-8-07</i></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	<i>AK</i>	1	6438984	2002-08-27	Novotny et al.	B1	—	—	<i>T</i>	2	6581388	2003-06-24	Novotny et al.	B2	—	—	<i>T</i>	3	6587343	2003-07-01	Novotny et al.	B2	—	—	Examiner Name	Date	<i>AKme</i>	<i>1-8-07</i>
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*Paper No. 2005 02 01*

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

PUMP AND FAN CONTROL CONCEPTS IN A COOLING  
SYSTEM

Application Number : 10/731674  
Confirmation Number: 4281  
First Named Applicant: Daniel Lenehan  
Attorney Docket Number:  
Art Unit:  
Examiner:  
Search string: ( 20020121105 or 20030121274 or 20040040695 or 20040089008 ).pn



**Certification:** This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

## US Published Applications

**Note:** Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
AK	1	20020121105	2002-09-05	McCarthy, Jr. et al.	A1	—	—
V	2	20030121274	2003-07-03	Wightman	A1	—	—
V	3	20040040695	2004-03-04	Chesser et al.	A1	—	—
V	4	20040089008	2004-05-13	Tilton et al.	A1	—	—

## Signature

Examiner Name	Date
AVC	1-8-07

Paper No. 20050317

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

PUMP AND FAN CONTROL CONCEPTS IN A COOLING  
SYSTEM

Application Number : 10/731674  
Confirmation Number: 4281  
First Named Applicant: Daniel Lenehan  
Attorney Docket Number:  
Art Unit:  
Examiner:  
Search string: ( 0596062 or 2273505 or 4211208 or 6397932 or 20020075645 ).pn



**Certification:** This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

## US Patent Documents

**Note:** Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
AKC	1	0596062	1897-12-28	W. P. Firey	—	—	—
	2	2273505	1942-02-17	R. R. Florian	—	—	—
	3	4211208	1980-07-08	Lindner	—	—	—
V	4	6397932	2002-06-04	Calaman et al.	B1	—	—

## US Published Applications

**Note:** Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
AKC	1	20020075645	2002-06-20	Kitano et al.	A1	—	—

## Signature

Examiner Name	Date
AKC	1-8-07

Paper No. 20050803

FORM PTO-1489 (Modified) <b>AUG 08 2005</b> INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-01901		Serial No.: 10/731,674	
				Applicants: Daniel J. Lenehan et al.			
				Filing Date: December 8, 2003		Group Art Unit: 3753 <b>3744</b>	

U.S. PATENT DOCUMENTS							
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
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<i>AK</i>	AK JP 10-99592	04/21/98	JP	D06F	39/08		X	
<i>AL</i>	AL JP 2001-326311	11/22/01	JP	H01L	23/427		X	
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)	
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Examiner: <i>AKC</i>	Date Considered: <i>1-8-07</i>
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Paper No. 20050808

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(See 37 CFR 1.98(b) for Requirements)

Applicants: Daniel J. Lenehan et al.

Filing Date: December 8, 2003

Group Art Unit: 3253 3744

(37 CFR § 1.98(b))

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Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
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## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

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	AM							
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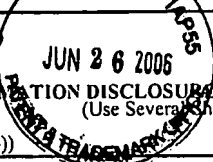
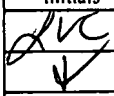
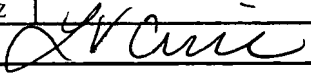
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FORM PTO-349 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-01901		Serial No.: 10/731,674		
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(37 CFR § 1.98(b))				Filing Date: December 8, 2003		Group Art Unit: <del>3753</del> 3744		
U.S. PATENT DOCUMENTS								
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		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
AFC V	AM	WO 2004/036040 A1	4/29/2004	PCT	F04B	17/00	Yes	No
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Examiner: <i>AFC</i>				Date Considered: 1-8-07				
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FORM PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-01901		Serial No.: 10/731,674	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)						Applicants: Daniel J. Lenehan et al.			
(37 CFR § 1.98(b))						Filing Date: December 8, 2003		Group Art Unit: 3733 3744	
U.S. PATENT DOCUMENTS									
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date			
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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS									
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Paper No. 20060626